

ATTENTION ATTENTION ATTENTION

DATE PROCESSED :

2-24-04

PROCESSED BY : B.M.



ACH PAYMENT

Attached is the most current ACH Information

Ifw

OTPE



TREASURY CHECK

The Most Current ACH Information Was

Requested From This Customer With The Purpose Of

Processing His Refund Request By Electronic Fund

Transfer(EFT). No EFT Information Was Received

Therefore, If Customer Is Due A Refund:

A CHECK WILL BE ISSUED.



CREDIT TO DEPOSIT ACCOUNT

10,609,420

This Request for Refund Will Be Processed By Crediting

Deposit Account Number 06-1205

If Customer Is Due A Refund.

ATTENTION ATTENTION ATTENTION

RAM Fee History
Query
Revenue Accounting and Management

Name/Number: 10609420

Total Records Found: 2

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
07/03/2003	00000034	<u>1</u>	<u>1001</u>	\$750.00	07/01/2003	CK
10/07/2003	00000012	<u>1</u>	<u>1203</u>	\$280.00	07/01/2003	DA 061205

No Refund



03560.002654.1

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Application of:

YASUO KOTAKI, et al.

Application No.: 10/609,420

Filed: July 1, 2003

For: LIQUID CONTAINER, CAP
USED WITH THE LIQUID
CONTAINER, AND
CAP-EQUIPPED LIQUID
CONTAINER

Examiner: J. Nguyen

Group Art Unit 2861

February 19, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Sir:

In connection with the above-identified application, Applicants request a refund of \$280.00 for a multiple dependent claim fee, which was erroneously charged to our Deposit Account 06-1205. It is requested that the refund be applied as a credit to that Deposit Account. The reason for the refund is explained below.

On July 1, 2003, Applicants submitted a Utility Patent Application and Preliminary Amendment, along with a check in the amount of \$750.00 for the filing fee (see attached copies of the Utility Patent Application Transmittal, Preliminary Amendment, and a postcard acknowledging receipt of the check). No multiple dependent claims fees were due at that time.

DEP E Res
4:30 PM

OFFICE OF PATENT
REFUND EXAMINER
2004 FEB 24 PM 4:23

STATUS AND DATE
5/24/04
FILED
OCT 24 PM 3:20

Applicant's attorneys received a Monthly Statement of Deposit Account, dated October 31, 2003, (copy attached) indicating that Deposit Account No. 06-1205 was charged \$280.00. It is respectfully submitted that this charge is not warranted.

Accordingly, Applicants hereby request a refund and authorizes the Commissioner to credit Deposit Account No. 06-1205 in the amount of \$280.00 to resolve this matter.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,


Attorney for Applicants

Registration No. 32,622

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

NY_MAIN 408665v1

STATUS AND ENTRY
BRANCH

204 FEB 24 PM 3:20

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

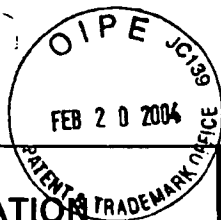
Date 7, 1, 03
Atty. Docket 03260.002654.1

Sir,

Kindly acknowledge receipt of the accompanying:

- ☒ Specifications, claims and abstract 51 pages, with Transmittal Form
- ☒ Patent Application Bibliographic Data Sheet 2 sheets
- ☒ Executed Oath or Declaration and Power of Attorney
- ☒ 18 Sheets of 1 formal 1 informal drawings
- ☒ Check for \$ 350.00 (filing fee)
- ☐ Request for Continued Examination and Check for \$ _____
- ☐ Assignment, PTO-1595 and Check for \$ _____
- ☐ Transmittal Under 37 CFR 1.53(d), (CPA)
- ☐ Petition under 37 CFR 1.136 and check for \$ _____
- ☒ Other (specify) Preliminary Amendment + Information Disclosure Statement; Claim to Priority
- by placing your receiving date stamp herein and mailing or returning to deliverer.
- This is a ☐ Continuation ☒ Divisional ☐ Continuation-In-Part
- Atty. MKONE Due Date 7.1.03
- 37 CFR 1.8 ☐
37 CFR 1.10 ☐
By Hand [Signature]



STATUS: **SEARCHED****UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

03560.002654.1

First Named Inventor or Application Identifier

Yasuo Kotaki

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-14501. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.3. ☒ Specification Total Pages **51**4. ☒ Drawing(s) (35 USC 113) Total Sheets **18**5. ☒ Oath or Declaration Total Pages **2**a. ☐ Newly executed (original or copy)b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)i. ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).6. ☒ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ paperc. ☐ Statements verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)11. ☐ English Translation Document (if applicable)12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations13. ☒ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☒ Other: Claim To Priority

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09 / 678,024
Prior application information: Examiner Judy Nguyen Group/Art Unit: 2861For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label**05514**
(Insert Customer No. or Attach bar code label here)or ☐ Correspondence address below

NAME

Address

City

State

Zip Code

Country

Telephone

Fax



STATUS AND FEE
BRANCH

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	7 -20 =	0	X \$ 18.00 =	\$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	1 -3 =	0	X \$ 84.00 =	\$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$280.00 =	\$ 0.00
				BASIC FEE (37 CFR 1.16(a))	\$ 750.00
		Total of above Calculations =			\$ 750.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).					
				TOTAL =	\$ 750.00

19. Small entity status

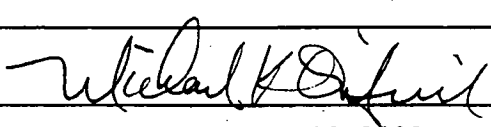
- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

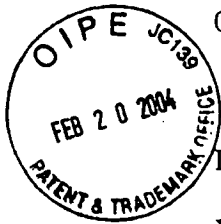
20. ☒ A check in the amount of \$ 750.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Michael K. O'Neill, Reg. No. 32,622
SIGNATURE	
DATE	June 30, 2003



03560.002654.1

STATUS AND ENTRY
PRIORITY
PATENT APPLICATION

2004 FEB 24 PM 3:20

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Application of:)

YASUO KOTAKI, et al.)

Application No.: Not Yet Assigned)

Filed: Concurrently Herewith)

For: LIQUID CONTAINER, CAP
USED WITH THE LIQUID
CONTAINER, AND
CAP-EQUIPPED LIQUID
CONTAINER)

June 30, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT
AND
INFORMATION DISCLOSURE STATEMENT

Sir:

Prior to examination, please amend the above-identified application, as
follows:

STATUS AID LISTY
BRANCH

IN THE SPECIFICATION:

124 FEB 24 PM 3: 20

Page 1, before the first line, insert the following:

This application is a division of U.S. Application No. 09/678,024, filed
October 4, 2000.

STATUS AND CERTIFY
BRANCH

IN THE CLAIMS:

Cancel Claims 1 to 5 without prejudice or disclaimer of subject matter.

201 FEB 24 PM 3: 20

REMARKS

STATUS AND LIBRARY
BRANCH

2007 FEB 24 PM 3:20

Claims 6 to 12, which were restricted out of parent Application No. 09/678,024, have been re-presented for an action on the merits..

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.56, Applicants respectfully direct the Examiner's attention to the documents listed below and on the enclosed Form PTO-1449. A copy of each document so listed is enclosed.

U.S. Patent 5,557,310
U.S. Patent 5,589,862
U.S. Patent 5,619,237
U.S. Patent 5,742,310
U.S. Published Application No. 2001/0045977
U.S. Published Application No. 2002/0175977
Japan 8-058107
Japan 8-224883
Japan 8-276601
Japan 10-296993

These documents were cited in prior parent Application No. 09/678,024, and might be deemed pertinent for the reasons given there. The Examiner is respectfully directed to the Patent and Trademark Office files for review of these documents. See MPEP § 609. Additionally, the Examiner is requested to indicate that this information has been considered by initialling the appropriate portion of the attached form PTO-1449.

STATUS AND ENTRY
BRANCH

Applicants' undersigned attorney may be reached in our Costa Mesa,
California office by telephone at (714) 540-8700. All correspondence should continue to
be directed to our below listed address.

Respectfully submitted,


Attorney for Applicants

Registration No.

32622

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200
CA_MAIN 65850 v 1

03560.002654.1

STATUS AND DATE
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FEB 24 11 3 29

In re Divisional Application of:)
:)
YASUO KOTAKI, et al.)
:)
Application No.: Not Yet Assigned)
:)
Filed: Concurrently Herewith)
:)
For: LIQUID CONTAINER, CAP)
USED WITH THE LIQUID)
CONTAINER, AND)
CAP-EQUIPPED LIQUID) June 30, 2003
CONTAINER)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CLAIM TO PRIORITY

Sir:

Applicants hereby claim priority under the International Convention and all rights to which they are entitled under 35 U.S.C. § 119 based upon the following Japanese Priority Application:

Japan 283410/1999, filed October 4, 1999.

A certified copy of the priority document was filed in parent Application

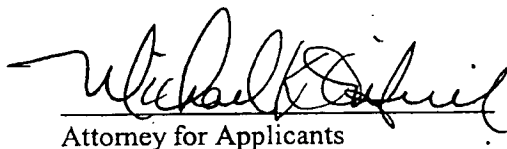
No. 09/678,024.

STATUS AND E
BRANCH

201 FEB 24 PM

Applicants' undersigned attorney may be reached in our Costa Mesa,
California office by telephone at (714) 540-8700. All correspondence should continue to
be directed to our below listed address.

Respectfully submitted,


Attorney for Applicants

Registration No. 32622

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

CA_MAIN 65852 v 1



UNITED STATES
PATENT AND
TRADEMARK OFFICE

STATUS AND ENTRY
BRANCH

MONTHLY STATEMENT OF DEPOSIT ACCOUNT

2004 FEB 24 PM 3:20

Under Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

To replenish your deposit account, detach and
return top portion with your check. Make check
payable to Director of Patents & Trademarks.

FITZPATRICK CELLA HARPER & SCINTO

FINA

30 ROCKEFELLER PLAZA

NEW YORK NY 10112-3801

Account No.	061205
Date	10-31-03
Page	2

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U. S. Patent and Trademark Office
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Chicago, IL 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
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AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE

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